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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/781,338	02/17/2004	Roger Thorpe	ISTOR.013A

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

CONFIRMATION NO. 9429  
FORMALITIES LETTER  
  
\*OC000000012699828\*

Date Mailed: 05/18/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$196** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$646** for a Small Entity

• \$385 Statutory basic filing fee.	07/20/2004 AWONDAF1 00000065 10781338
• \$65 Late oath or declaration Surcharge.	01 FC:2001 385.00 0P
	02 FC:2051 65.00 0P
	03 FC:2201 43.00 0P
	04 FC:2202 153.00 0P

- Total additional claim fee(s) for this application is **\$196**

- **\$43** for **1** independent claims over **3**.
- **\$153** for **17** total claims over **20**.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*Alex Resfay*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

Case Docket No. ISTOR.013A  
Date: July 16, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Roger Thorpe et al

App. No. : 10/781,338

Filed : February 17, 2004

For : HARDWARE-  
ACCELERATED HIGH  
AVAILABILITY  
INTEGRATED NETWORKED  
STORAGE SYSTEM

Group Art Unit : 2143

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 16, 2004

(Date)

*Ted M. Cannon*

Ted M. Cannon, Reg. No. 55,036

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on May 18, 2004, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

PATENT

Case Docket No. ISTOR.013A  
Date: July 16, 2004

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FILING FEE	\$ 385
DEPENDENT CLAIMS IN EXCESS OF 20	\$ 153
INDEPENDENT CLAIMS IN EXCESS OF 3	\$ 43
SURCHARGE 37 CFR 1.16(e)	\$ + 65
TOTAL OF ABOVE CALCULATIONS	\$ 646
TOTAL FEES SUBMITTED HEREWITH	\$ 646

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(X) A check in the amount of \$646 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
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Ted M. Cannon  
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